

JOINT SUBMISSION PROCESS in REACH-IT

To be able to submit a dossier as Lead Registrant or member of a joint submission (JS), the lead registrant has first to create a JS object in REACH-IT. Afterwards, the member has to confirm his membership within this JS object prior to make dossier submission.

For an extensive explanation of each step here after described, please refer to the ECHA Industry User Manuals¹.

1 Link to the Regulation²

Manufacturers, importers or only representatives are individually obliged to submit a registration for each substance they are responsible for. In cases where a substance is manufactured or imported by more than one company, potential registrants are required to submit certain information together. This is called the joint submission (JS) of data.

Joint submission may apply to a substance to be registered [REACH, article 11], as well as on-site or transported isolated intermediates [REACH, article 19].

Registrants are required to jointly submit information on the hazardous properties of the substance, its classification and labeling and testing proposal(s) (if any). It is also possible to jointly submit the chemical safety report and guidance on safe use (REACH, Article 11) if an agreement among the members is reached. The information that needs to be submitted jointly is provided only by the Lead registrant on behalf of the others. Each member of the joint submission shall submit separately and individually the following administrative information:

- the legal entity identity,
- the substance identity,
- Information on the manufacture and on the use(s) of the substance including tonnage as well as some exposure information for substances registered in quantities of 1 to 10 tonnes.

In terms of chronology, the lead registrant will have to submit first his registration dossier. Only when the joint submission dossier reaches the end of the process, the other registrants are allowed to submit their dossier. Therefore, when the lead registrant will receive the registration number of the joint submission registration, he is asked to inform all members (REACH-IT cannot be used for this) that his registration has been completed.

¹ Part 6 – Dossier submission

http://echa.europa.eu/doc/reachit/industry_user_manual/reachit_dossier_submission_en.pdf

Part 7 – Joint Submission

http://echa.europa.eu/doc/reachit/industry_user_manual/reachit_joint_submission_en.pdf

² Source REACH-IT Industry User Manual – ECHA

http://echa.europa.eu/doc/reachit/industry_user_manual/reachit_joint_submission_en.pdf

A manufacturer or importer may decide to opt-out from some or all parts of the Joint registration dossier (opt-out of joint submission). Possible reasons for opting-out could be disproportional costs, the disclosure of information would be likely to lead to substantial commercial detriment, or disagreement with the lead registrant on the selection of the information submitted in the Joint Submission. A Member of a joint submission may also decide to opt-in for one or more confidentialities claimed by the lead in the Joint registration dossier. The opt-in has to be indicated in REACH-IT and the confidentiality claims should be indicated in his member dossier.

1.1 Lead and member registrants

The company taking the role of the lead registrant has the responsibility to create the joint submission in REACH-IT, to submit the lead dossier and to manage the joint submission. The other companies, called member registrants, who want to be part of a joint submission, first have to confirm their membership to the joint submission in REACH-IT, before submitting their individual dossiers.

In a joint submission, the lead registrant has to submit and get his dossier accepted first, before other SIEF members can submit their part of the dossier (see detail below).

1.2 The security token

To ensure the unambiguous relation among the lead registrant and the member(s), a security token is created for each joint submission, and serves to the confirmation of membership by the members of a joint submission (see [Lead Registrant process](#)). When a potential member wants to confirm his membership, he has to enter the name of the joint submission and the security token, in REACH-IT. If the information is correct REACH-IT will display the joint submission information and will ask additional confirmation from the new joint submission member.

Each security token has a limited validity of 30 days from its creation. If it expires, only the lead registrant can create a new security token (using REACH-IT) for the joint submission, upon request of a potential member. The lead registrant has to communicate with the potential new member(s) about the new security token outside REACH-IT.

2 STEP BY STEP explanation

2.1 STEP 1: Joint Submission object in REACH-IT

Lead Registrant process

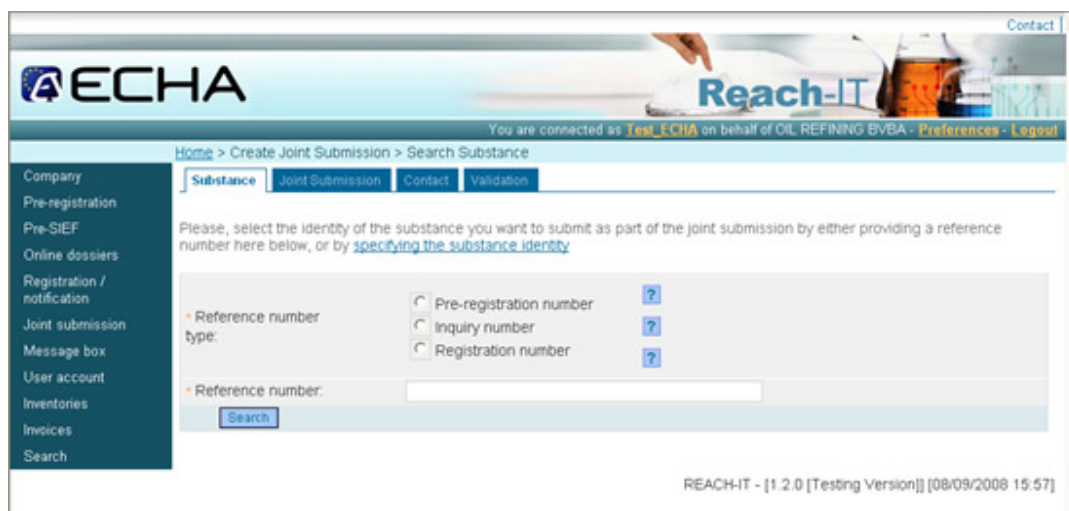
Following the ECHA key principles on SIEF³, all SIEFs must select a Lead Registrant. REACH does not specify rules as to how the Lead Registrant should be selected. The Lead Registrant must act with the agreement of the other assenting registrants.

The Lead Registrants preparing for the first registration deadline are advised to inform ECHA of their nomination.

These nominations should be sent by e-mail to: lead-registrant@echa.europa.eu

- a. First step, the LR has to create a Joint Submission object via the Menu Joint Submission → create Joint Submission

Important note: This step has to be done prior to dossier submission.



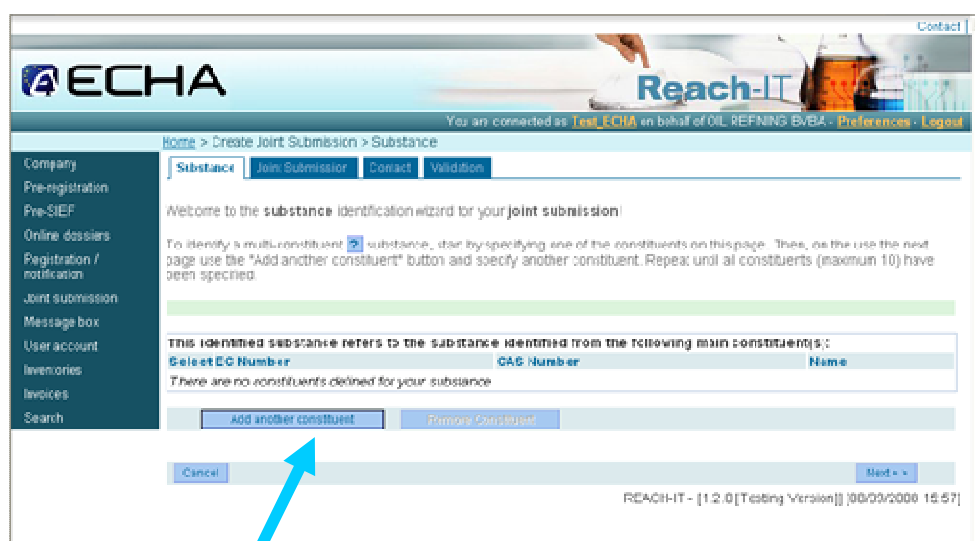
In the context of joint submission, the Lead Registrant can select the substance of the joint submission by providing a Reference number type and a Reference number⁴ in the corresponding field:

³ See http://echa.europa.eu/doc/reachit/sief_key_principles.pdf

⁴ See [ANNEX](#) for information

- Pre-registration number: one of the company's pre-registered substances.
- Inquiry number: one of the company's inquired substances.
- Registration number: one of the company's already registered substances, including the Notified substances.

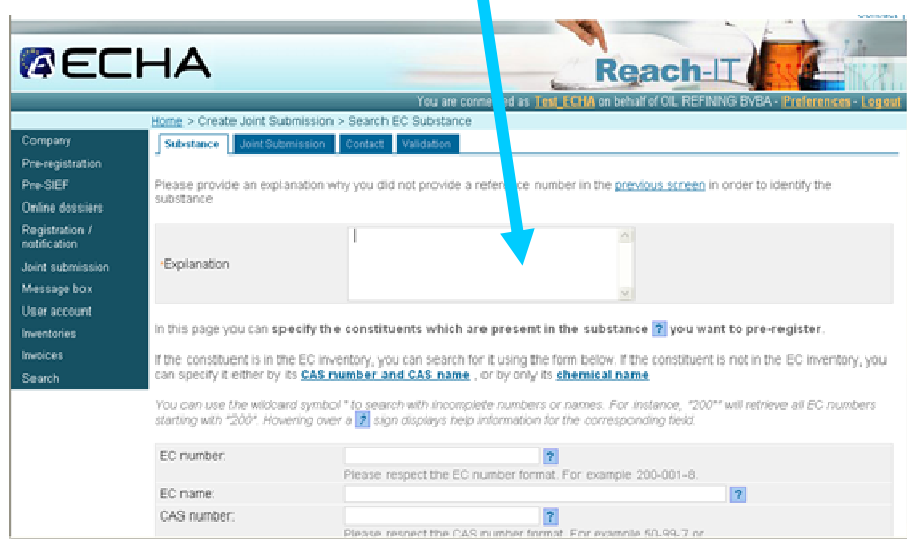
If none of the above Reference numbers is applicable, the user can identify the substance via the same method as the one used for the pre-registration.



The screenshot shows the ECHA REACH-IT interface. The user is logged in as 'Test ECHA' on behalf of 'OIL REFINING BVBA'. The page title is 'Home > Create Joint Submission > Substance'. The main content area displays a welcome message and instructions for identifying a multi-constituent substance. A table shows 'This identified substance refers to the substance identified from the following main constituent(s):' with columns for 'Select EC Number', 'CAS Number', and 'Name'. Below the table, there are buttons for 'Add another constituent' and 'Remove Constituent'. A blue arrow points to the 'Add another constituent' button.

First, the LR clicks on 'add another constituent' to identify the constituent(s) of the substance for which he is creating a JS.

However, in that case, the user must provide an explanation as to why he did indicate neither a pre-registered / inquired substance, nor an already registered one.



The screenshot shows the ECHA REACH-IT interface. The user is logged in as 'Test ECHA' on behalf of 'OIL REFINING BVBA'. The page title is 'Home > Create Joint Submission > Search EC Substance'. The main content area displays a request for an explanation: 'Please provide an explanation why you did not provide a reference number in the previous screen in order to identify the substance'. Below this, there is a text box labeled 'Explanation'. A blue arrow points to this text box. Further down, there are instructions on how to specify constituents and search for them using EC number, EC name, or CAS number.

Finally, the LR specifies all constituents (if any) and clicks Continue when finished.



ECHA **Reach-IT**

You are connected as: **Test ECHA** on behalf of OIL REFINING BVBA - [Preferences](#) - [Logout](#)

Home > Create Joint Submission > Verify Constituent

Substance Joint Submission Contact Validation

The following constituent will be added to your substance. Click on the "Continue" button to add it or "Modify" to change your selection.

EC Number	CAS Number	Name
203-615-4	108-78-1	melamine

[Modify](#) [Continue](#)

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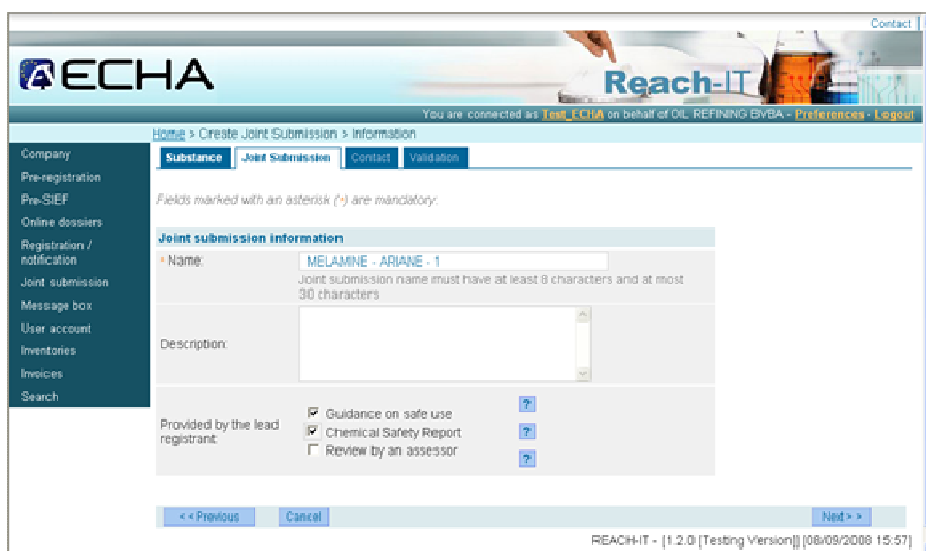
- b.** The LR has to indicate the name of the Joint Submission (agreed among JS members) – so called below the 'JS name'. This name will be used by all JS registrant (LR and members)

The joint submission name must have between 8 and 30 characters.

It is important to use well defined names for the JS, and not only rely on the substance identity. This JS name and the token (please see below for details) must be used by all members to confirm their membership.

Technical note: The JS name is unique for the system – it's impossible to have two identical JS names in REACH-IT. When the dossier is submitted in REACH-IT, the UUID ([Universal Unique Identifier](#)) of the Legal Entity and the substance ID are both checked against the information contained in REACH-IT.

- c. On behalf of Joint Submission, where needed and after agreement of JS members, the LR will indicate whether a Guidance on Safe use, CSR and a review by assessor will be provided.



REACH-IT

You are connected as **Test_ECHA** on behalf of OIL REFINING BVBA - [Preferences](#) - [Logout](#)

[Home](#) > [Create Joint Submission](#) > [Information](#)

[Substance](#) | [Joint Submission](#) | [Contact](#) | [Validation](#)

Fields marked with an asterisk (*) are mandatory:

Joint submission information

* Name:
Joint submission name must have at least 8 characters and at most 30 characters

Description:

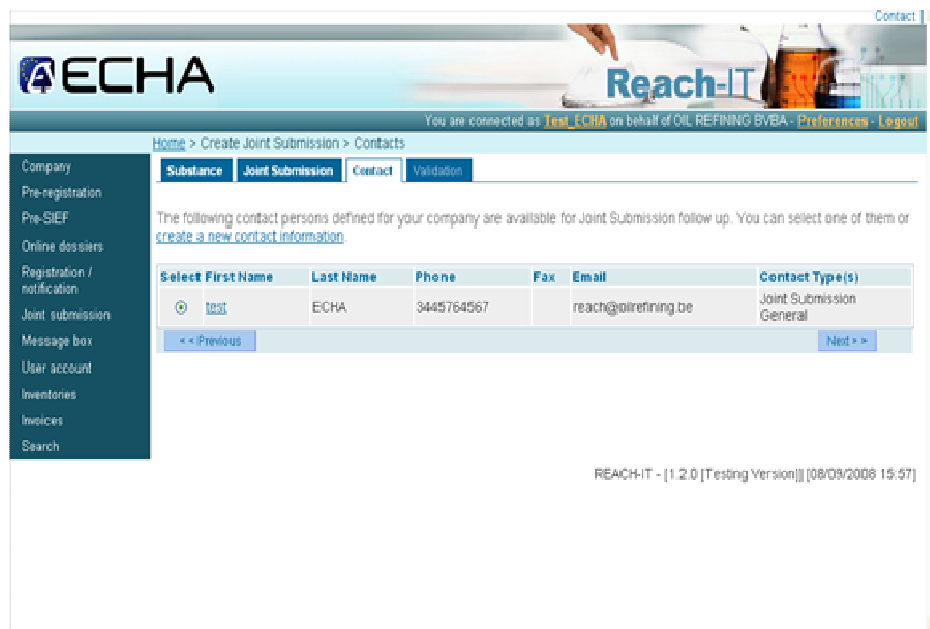
Provided by the lead registrant:

☒ Guidance on safe use [?](#)
☒ Chemical Safety Report [?](#)
☐ Review by an assessor [?](#)

[<< Previous](#) [Cancel](#) [Next >>](#)

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And add a new contact name or choose one (among contact names already created during the sign-up) to become responsible for Joint Submission follow-up for the LR.



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You are connected as **Test_ECHA** on behalf of OIL REFINING BVBA - [Preferences](#) - [Logout](#)

[Home](#) > [Create Joint Submission](#) > [Contacts](#)

[Substance](#) | [Joint Submission](#) | [Contact](#) | [Validation](#)

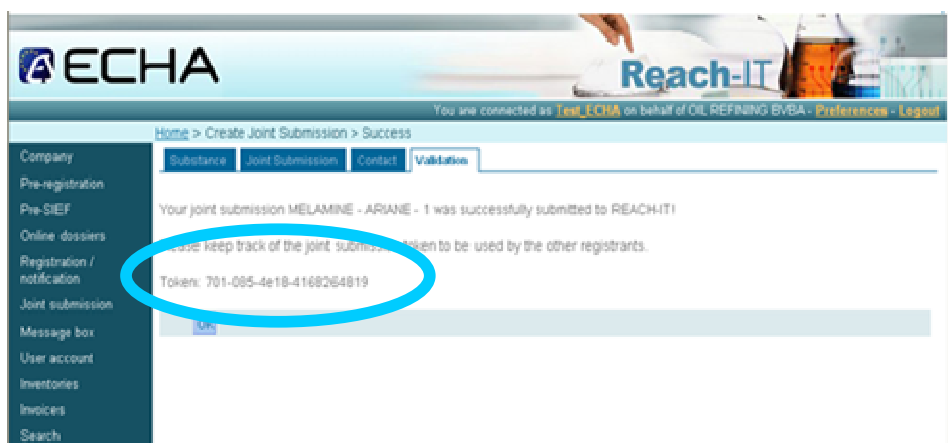
The following contact persons defined for your company are available for Joint Submission follow up. You can select one of them or [create a new contact information](#).

Select	First Name	Last Name	Phone	Fax	Email	Contact Type(s)
<input checked="" type="radio"/>	Test	ECHA	3445764567		reach@oilrefining.be	Joint Submission General

[<< Previous](#) [Next >>](#)

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- d. And finally the Lead Registrant validates the Joint Submission object. The Lead Registrant will get the TOKEN: a string of characters. The token has a limited validity of 30 days.



The message for a confirmation of creation of a new Joint Submission goes to all the users of the Lead Registrant LE (so called the party in the message system of REACH-IT).



The token and the JS name have to be provided to the members of the Joint Submission that fulfilled the agreement condition to receive the token.

Important: The token and the JS name are the only ways for a member to confirm his membership within a specific joint submission. The LR has to provide a valid token (the LR can always re-create a token for this purpose, if the previous token has expired, as many as it's needed).

Member of a JS - process

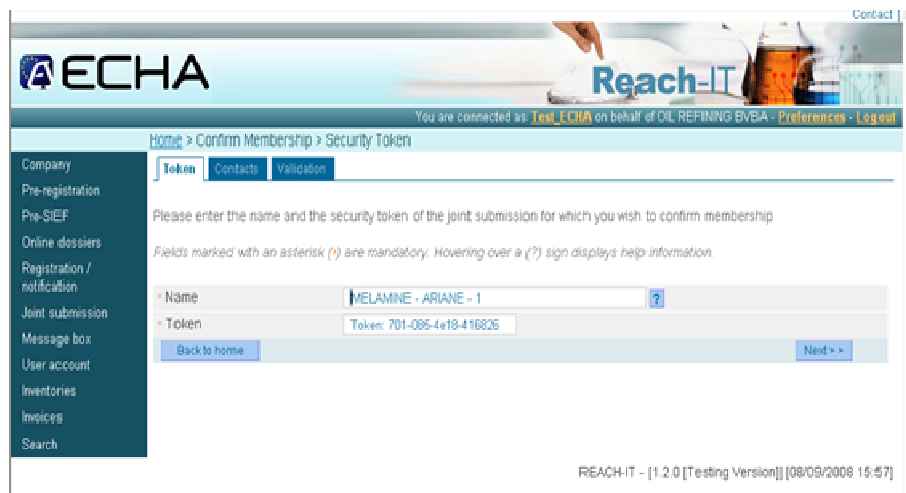
If the information was not yet available, a member of a JS has to contact the LR and ask for the JS name and the token.

Using the token and the JS name, the member has to confirm his membership in the Joint Submission. The member will receive a confirmation of his successful submission of membership in his own REACH-IT message box. This confirmation is also sent to the Lead Registrant.

Important note: Only a final registrant LE can be a member of a JS in REACH-IT.

If a member is hidden behind a TPR:

- The TPR will receive the token and the JS name from the lead Registrant.
- The TPR has to bring back both data to its client.
- The member must confirm himself his membership to the JS
- The member will be 'disclosed' for the Lead Registrant ONLY.
- The member must submit the substance dossier himself (see below for details on dossier submission)



It's important to notice that the list of Joint Submission members is filled up in REACH-IT. It is filled up **by the JS members** when they confirm their membership to the JS.

Important note

The Lead Registrant is the only one who can access to the list of all names of the JS members. The Lead Registrant can see all official LEs (even the ones previously hidden behind a TPR).

The JS members can NOT see the list of members.

The members can see the Lead Registrant by using the SEARCH module.

STEP 2: Registration of a dossier

The submission of a dossier to register a substance has to be made via the same REACH-IT process and module for any registrant (a Lead Registrant, a member of a joint submission, a 'single' registrant).

Note: As explained above, the token is only mandatory for the JS member to confirm his membership to the JS. However, for the specific task of submitting a dossier itself, neither the LR nor the JS members will need the token.

Important: The Lead Registrant has to submit the JS part and his own part of the dossier FIRST. Once the LR dossier registration is complete (Invoice is paid, TCC is successful and then the LR has received the registration number), other members of the JS can submit their specific dossier for the same substance, within the same joint submission and referring, where appropriate to the LR dossier.

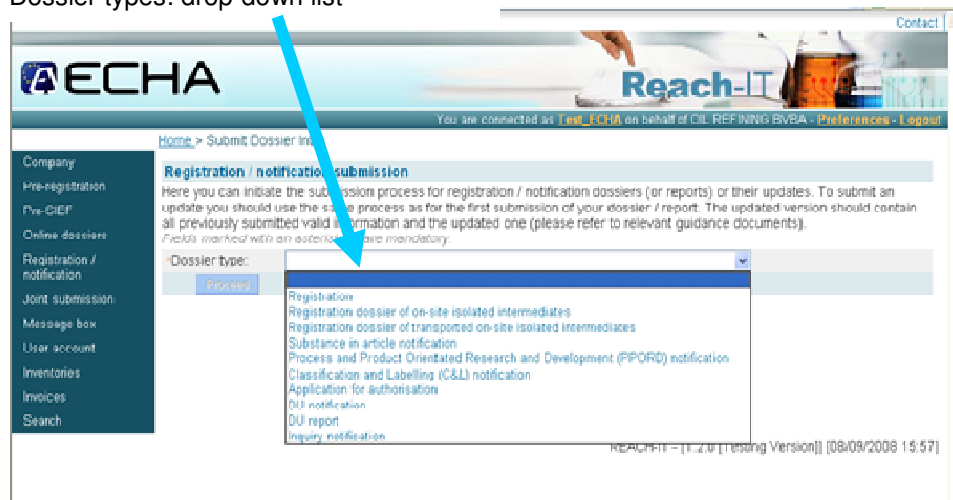
- a. After login, the user opens the menu Registration/Notification.



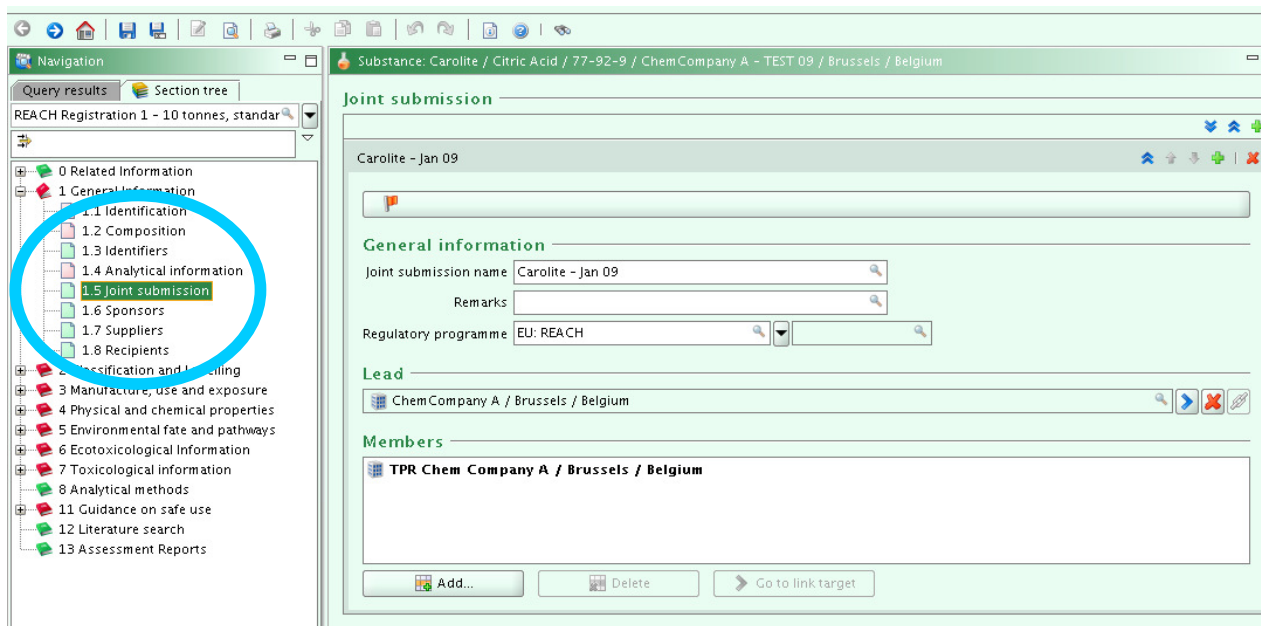
He chooses the right dossier type (see next screen shot).

Important note: Within the Registration/Notification in REACH-IT, there is no possibility to choose 'I would like to submit a joint submission dossier – as member or as LR. This specific 'dossier type' is NOT in the drop down list.

Dossier types: drop-down list

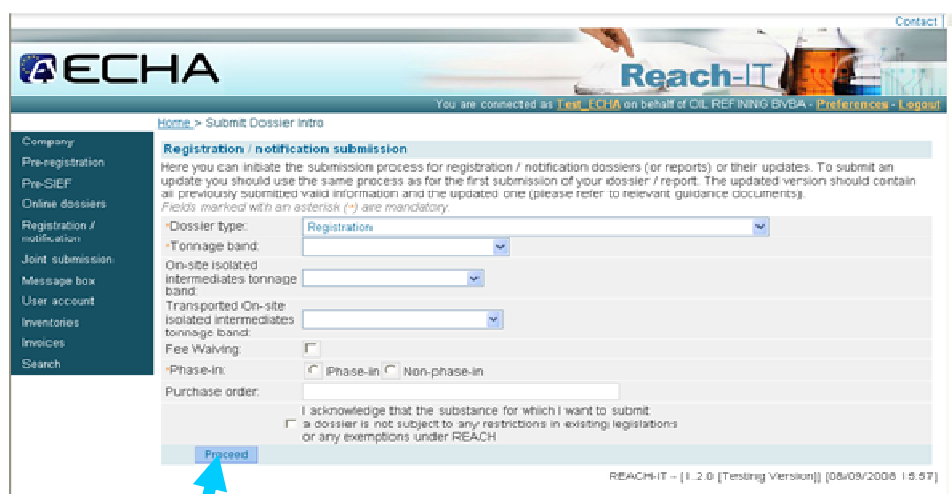


For any joint submission dossier (as a LR AND as a member), the chapter 1.5 in the IUCLID 5 dossier has to be correctly filled in. If not filled in, the dossier will NOT be considered as a joint submission dossier, and be either rejected (Member Submission) or considered to be a single registration.



Technical note: REACH-IT will check the LE identity together with the substance identity (against what it has been put in the Joint Submission list – see above for details) to assess whether the registrant (member or Lead registrant) is known as a member of a JS.

- b. The user has to provide all necessary details to initiate the submission of a registration/ notification dossier.



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[Home](#) > [Submit Dossier Intro](#)

Registration / notification submission

Here you can initiate the submission process for registration / notification dossiers (or reports) or their updates. To submit an update you should use the same process as for the first submission of your dossier / report. The updated version should contain all previously submitted valid information and the updated one (please refer to relevant guidance documents). Fields marked with an asterisk (*) are mandatory.

*Dossier type:

*Tonnage band:

On-site isolated intermediates tonnage band:

Transported On-site isolated intermediates tonnage band:

Fee Waiving: ☐

*Phase-In: ☐ Phase-in ☐ Non-phase-in

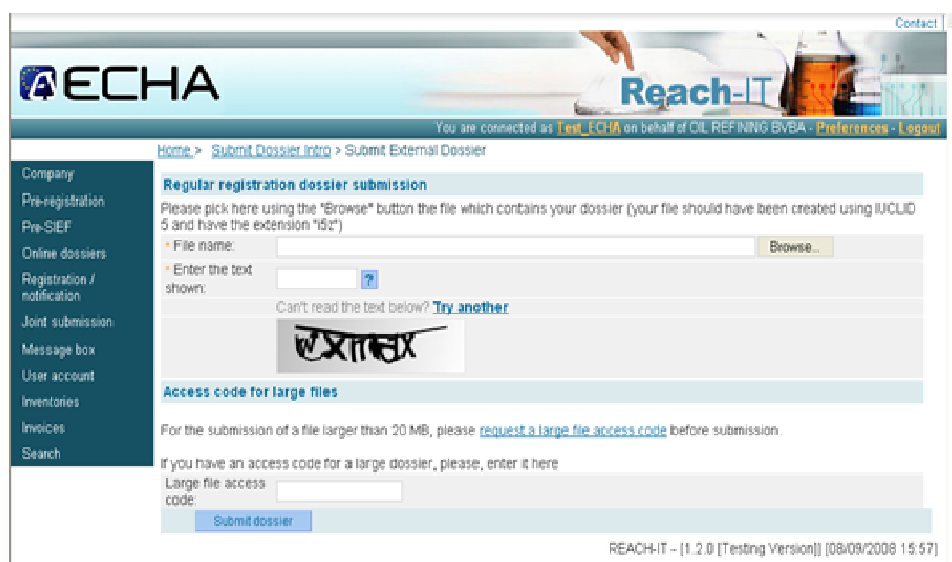
Purchase order:

I acknowledge that the substance for which I want to submit:
☐ a dossier is not subject to any restrictions in existing legislation or any exemptions under REACH

Proceed

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- c. After clicking the 'Proceed' button, user can now browse his folders and select the file containing the dossier (file '.i5z', made in IUCLID 5). And finally he presses 'Submit dossier' button to send the dossier.



REACH-IT

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[Home](#) > [Submit Dossier Intro](#) > [Submit External Dossier](#)

Regular registration dossier submission

Please click here using the "Browse" button the file which contains your dossier (your file should have been created using IUCLID 5 and have the extension ".i5z")

* File name: **Browse...**

* Enter the text shown: **?**

Can't read the text below? [Try another](#)

Access code for large files

For the submission of a file larger than 20 MB, please [request a large file access code](#) before submission.

If you have an access code for a large dossier, please, enter it here

Large file access code:

Submit dossier

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- d. The user who submitted the dossier will receive a submission number in the internal message box of REACH-IT.

 Submission_Report_J
Z121787-99.pdf

<input type="checkbox"/>	Hide	Yes	Submitted file received (FJ121800-55) - Registration (reg.)	18/09/2008 16:27	User(Test_ECHA)
Your file has been received. Submission number: FJ121800-55 Submission timestamp: 18/09/2008 16:27 Dossier type: Registration (regular) File name: Stream A Lead .5z Go to dossier					

- e. The invoice is then created by the Agency. Invoices are still sent to all users of the party⁵. The Invoice Manager role, which is a contact name role, is only used by ECHA for personal communication.

Select All Select None					
Select	Details	Read	Subject	Creation Date	Expire Date Recipient
<input type="checkbox"/>	Hide	Yes	Invoice has been opened (QY121802-10.)	18/09/2008 17:00	Party(OIL REFINING BVBA)
Invoice has been opened - Invoice available for download Reference number: 10000116 Submission number: QY121802-10, Company reference: fsdhsdf,					
<input type="checkbox"/>	Hide	Yes	Invoice has been opened	18/09/2008 16:53	Party(OIL REFINING BVBA)
Invoice has been opened - Invoice available for download Reference number: 10000117 Submission number: FJ121800-55 Company reference: treewwe					

⁵ For Party, User, Contact person and other technical definitions within REACH-IT, please refer to the Industry User Manual.

Users can download the invoice (via the menu Registration/Notification → view Registration/Notification). In this menu, the user can see the Submission report and check the process followed by the dossier as well as the accounting details.



REACH-IT

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Home > Submitted Dossiers > Dossier Accounting

Company

- Pre-registration
- Pre-SIEF
- Online dossiers
- Registration / notification
- Joint submission
- Message box
- User account
- Inventories
- Invoices
- Search

Dossier

Dossier type: Registration

Submission

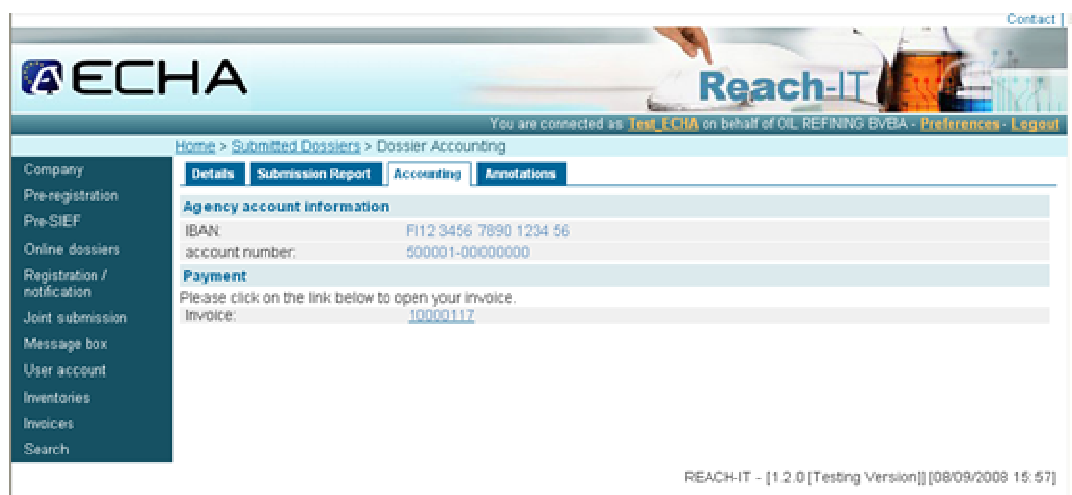
Submission Number: FJ121300-55
 Submission Date: 18/09/2008
 Is the submission an update? No
 Is it a joint submission? Yes
 Status of the dossier: Pending

Substance

Reference Number:
 Substance Name: SteamA Lead

[Request submitted file](#)

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REACH-IT

You are connected as **Test_ECHA** on behalf of OIL REFINING BVBA - [Preferences](#) - [Logout](#)

Home > Submitted Dossiers > Dossier Accounting

Agency account information

IBAN: FI12 3456 7890 1234 56
 account number: 500001-00000000

Payment

Please click on the link below to open your invoice.
 Invoice: [10000117](#)

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And all users of the party (all users of the same LE which submitted the dossier) will receive a message when one of them has made the download of the invoice for the first time.

<input type="checkbox"/>	Show	No	Invoice downloaded for the first time	19/09/2008 09:10	Party(OIL REFINING BVBA)
<input type="checkbox"/>	Hide	Yes	Invoice downloaded for the first time	19/09/2008 09:09	Party(OIL REFINING BVBA)

You have downloaded for the first time the invoice corresponding to the following reference.
 Reference number: 10000117
 Download time: 19/09/2008 09:09



REACH-IT News – Joint Submission Process

- f. After payment of the invoice and the success of the dossier TCC (technical completeness check), the registration number will be sent to the registrant through the internal REACH-IT mailbox (with the same date as the submission date).

Important note: As a member of a JS, the user **MUST** wait for the completion of the registration by the Lead Registrant prior to be able to submit his own dossier (the optimal time is 21 days up to 2 months before the next registration deadline). If submitted before the completion of the registration by the Lead Registrant, his dossier will be rejected.

The registration number issued by the system is the same for all JS members (except the 4 last digits). This 'first part' of the registration number (all digits but the last 4) as a reference can be used in order to communicate between LR and members to proof the completion of the LR registration.

ANNEX

Reference number - *Extract of GUIDANCE on REGISTRATION – ECHA* - http://reach.jrc.it/public-2/getdoc.php?file=registration_en

Once the registration is complete the REACH IT system at the Agency automatically assigns a registration number to the registrant for the substance concerned and a registration date that will be the same as the submission date. The Agency without delay communicates the registration number and date to the concerned registrant. From that moment on the registrant shall use the registration number for the subsequent correspondence regarding registration procedures (Articles 20 (3)). Moreover, the registration number will have to be incorporated in the SDSs for any supply after reception of that registration number.

For a given substance, distinct dossier types may apply. For example, a substance initially notified as a PPORD may require the submission of a registration dossier at the end of the exemption period if the PPORD leads to a commercial use of the substance. Also, a substance for which initially a notification of the classification and labeling was submitted may later lead to the submission of a registration dossier. In those cases, the substance will hold an identification number of each kind, a PPORD number and a registration number in the first above example, and a C&L number and a registration number in the second above example

All those numbers are called “Reference numbers” have the following structure: <TYPE>-<BASE-NUMBER>-<CHECKSUM>-<INDEX-NUMBER> where:

<TYPE> is a 2-digit number giving the type of number:

- 01 Registration
- 02 C&L notification
- 03 Substance in article
- 04 PPORD
- 05 Pre-registration
- 06 Inquiries
- 07 On-site isolated intermediates*
- 08 Transported on-site isolated intermediates*
- 09 Data Holder notifications
- 10 Downstream User notifications
- 11 Applications for Authorisation
- 12 Substance Evaluations
- 13 Annex XV – C&L Harmonization
- 14 Annex XV – Authorisation
- 15 Annex XV – Restriction
- 16 Internal usage
- 17 Late Pre-registration: (reserved to pre-registrations
According to REACH Regulation Article 28 (6))

<BASE-NUMBER> is a 10-digit number,

<CHECKSUM> is a 2-digit number,

<INDEX-NUMBER> is a 4-digit number giving the index of a member in a joint submission.

* Type numbers 07 and 08 have been used for registration of intermediates but they are not used anymore. Instead, the type number '01' is now used for all registration types.

Important note: The Registration Number will be the same for all members and LR of the JS, except the 'index' part.